

131B Midterm 1 Solutions

1. QUESTION 1

Let (X, d) be a metric space. Let $(x_j)_{j=1}^\infty$ and let $(y_j)_{j=1}^\infty$ be two sequences in (X, d) , and let $x, y \in X$. Assume that $(x_j)_{j=1}^\infty$ converges to x , and assume that $(y_j)_{j=1}^\infty$ converges to y . Prove that $\lim_{j \rightarrow \infty} d(x_j, y_j) = d(x, y)$. (Hint: use the triangle inequality many times.)

Solution. From the triangle inequality, $d(x_j, y_j) \leq d(x_j, x) + d(x, y) + d(y, y_j)$. Also from the triangle inequality, $d(x, y) \leq d(x, x_j) + d(x_j, y_j) + d(y_j, y)$. Putting everything together,

$$d(x, y) \leq d(x, x_j) + d(x_j, y_j) + d(y_j, y) \leq 2d(x, x_j) + 2d(y, y_j) + d(x, y).$$

Letting $j \rightarrow \infty$, we have $d(x, x_j) \rightarrow 0$ and $d(y, y_j) \rightarrow 0$, by assumption. Therefore, letting $j \rightarrow \infty$ in the above inequalities, and applying the Squeeze Theorem, we have

$$d(x, y) \leq \lim_{j \rightarrow \infty} d(x_j, y_j) \leq d(x, y).$$

That is, $d(x, y) = \lim_{j \rightarrow \infty} d(x_j, y_j)$.

2. QUESTION 2

Let (X, d) be a metric space, and let $(\mathbf{R}^2, d_{\ell_2})$ denote the Euclidean plane with the usual Euclidean metric. Let $f: X \rightarrow \mathbf{R}^2$ be a function. We then write f in its components as $f = (f_1, f_2)$, so that, for all $x \in X$, we have $f(x) = (f_1(x), f_2(x))$. In particular, $f_1: X \rightarrow \mathbf{R}$ and $f_2: X \rightarrow \mathbf{R}$. (As usual, \mathbf{R} denotes the real line with the standard metric $d(a, b) := |a - b|$, where $a, b \in \mathbf{R}$.)

Prove that $f: X \rightarrow \mathbf{R}^2$ is continuous if and only if both $f_1: X \rightarrow \mathbf{R}$ and $f_2: X \rightarrow \mathbf{R}$ are continuous.

Solution. There are a few ways to do this problem. Here is one way. Let $(x_j)_{j=1}^\infty$ be a sequence in X that converges to $x \in X$ with respect to the metric d_X . From Theorem 6.3 in the first set of notes, f is continuous if and only if for all such convergent sequences we have $f(x_j) \rightarrow f(x)$ as $j \rightarrow \infty$. Equivalently, $\|(f_1(x_j), f_2(x_j)) - (f_1(x), f_2(x))\|_{\ell_2} \rightarrow 0$ as $j \rightarrow \infty$. Recall from Homework 1, Exercise 6 that the metrics d_{ℓ_2} and d_{ℓ_∞} are equivalent. That is, we equivalently have $\|(f_1(x_j), f_2(x_j)) - (f_1(x), f_2(x))\|_{\ell_\infty} \rightarrow 0$ as $j \rightarrow \infty$. Equivalently, $\max_{i=1,2} |f_i(x_j) - f_i(x)| \rightarrow 0$ as $j \rightarrow \infty$. That is, $f_1(x_j) \rightarrow f_1(x)$ and $f_2(x_j) \rightarrow f_2(x)$ as $j \rightarrow \infty$. From Theorem 6.3 in the first set of notes, since $(x_j)_{j=1}^\infty$ is an arbitrary convergent sequence in \mathbf{R}^2 , the previous sentence is equivalent to both f_1 and f_2 being continuous.

3. QUESTION 3

Let n be a positive integer. Let $(\mathbf{R}^n, d_{\ell_2})$ denote the Euclidean space \mathbf{R}^n with the usual Euclidean metric d_{ℓ_2} . Prove that the following set is compact in \mathbf{R}^n :

$$\{(x_1, \dots, x_n) \in \mathbf{R}^n: \sum_{i=1}^n |x_i| = 1\}.$$

Solution. Define $f(x_1, \dots, x_n) := \sum_{i=1}^n |x_i|$. The function $f: \mathbf{R}^n \rightarrow \mathbf{R}$ is evidently continuous, being a finite sum of continuous functions. (As usual, \mathbf{R} denotes the real line with the standard metric d where $d(a, b) = |a - b|$.) From Proposition 3.15(iv) in the first set of notes, the singleton set $\{1\}$ is a closed subset of \mathbf{R} . From Theorem 6.5 in the first set

of notes, $f^{-1}(\{1\})$ is therefore closed. The set $f^{-1}(\{1\})$ is evidently also bounded, since if $\sum_{i=1}^n |x_i| = 1$, then $\max_{j=1,\dots,n} |x_j| \leq 1$ as well, so

$$\left(\sum_{i=1}^n x_i^2\right)^{1/2} \leq \left(\max_{j=1,\dots,n} |x_j| \cdot \sum_{i=1}^n |x_i|\right)^{1/2} \leq 1.$$

That is, if $(x_1, \dots, x_n) \in f^{-1}(\{1\})$, then $(x_1, \dots, x_n) \in B_{\mathbf{R}^n, d_{\ell_2}}(0, 1)$. So, $f^{-1}(\{1\})$ is bounded. In conclusion, the set $f^{-1}(\{1\})$ is both closed and bounded. By Theorem 5.9 in the first set of notes, $f^{-1}(\{1\})$ is therefore compact.

4. QUESTION 4

Let X be a set with more than one element. Define a function $d: X \times X \rightarrow \mathbf{R}$ so that, for all $x, y \in X$, we have $d(x, x) := 0$, and $d(x, y) := 1$ if $x \neq y$. You may assume that d is a metric on X . Prove that (X, d) is disconnected.

Solution. Let $x \in X$ be any point in X . Define $E := \{x\}$ to be the singleton set $\{x\}$, and define $F := X \setminus \{x\}$ to be the complement of E , i.e. the set of all points in X except for x . By assumption on the set X , we know that the set F is nonempty. We claim that both E and F are open. If this is true, then we are done, since then by definition, (X, d) is disconnected. So, we will conclude by showing that both E and F are open. We in fact prove something stronger. Any single point in X is open. Given this fact, Proposition 3.15(vii) from the first set of notes shows that both E and F are open, since e.g. F is the union of single points, so it is the union of open sets, so F is open. We will therefore conclude by showing that any point $x \in X$ is itself an open set. By the definition of the metric d , note that $B(x, 1/2)$ is exactly the singleton set $\{x\}$. That is, $B(x, 1/2) = \{x\}$. From Proposition 3.15(iii) in the first set of notes, $B(x, 1/2)$ is an open set. Therefore, the single point $\{x\}$ is an open set, and we are done.

5. QUESTION 5

Let (X, d_X) be a metric space. Let \mathbf{R} denote the real line with the standard metric. That is, if $a, b \in \mathbf{R}$, we consider the metric d on \mathbf{R} where $d(a, b) = |a - b|$. For each positive integer j , let $f_j: X \rightarrow \mathbf{R}$ be a continuous function. Assume that the sequence $(f_j)_{j=1}^\infty$ converges uniformly to a function $f: X \rightarrow \mathbf{R}$. Show that f is also continuous.

Solution. Let $x, y \in X$. Let $\varepsilon > 0$. We need to find $\delta = \delta(\varepsilon) > 0$ such that, if $d_X(x, y) < \delta$, then $|f(x) - f(y)| < \varepsilon$. Since $(f_j)_{j=1}^\infty$ converges uniformly to f , we know that there exists $J = J(\varepsilon)$ such that for all $j \geq J$, and for all $x \in X$, we have $|f_j(x) - f(x)| < \varepsilon/4$. So, choose $j = J$. Since f_j is continuous, there exists $\delta > 0$ such that, if $d_X(x, y) < \delta$, then $|f_j(x) - f_j(y)| < \varepsilon/2$. So, from the triangle inequality, we have

$$|f(x) - f(y)| \leq |f(x) - f_j(x)| + |f_j(x) - f_j(y)| + |f_j(y) - f(y)| \leq \varepsilon/4 + \varepsilon/2 + \varepsilon/4 = \varepsilon.$$

That is, we found the required $\delta > 0$. We conclude that f is continuous, as desired.